UCC FINANCING STATEMENT FOLLOW INSTRUCTIONS (front and back) CAREFULLY A. NAME & PHONE OF CONTACT AT FILER [optional] Skagit County Auditor B. SEND ACKNOWLEDGMENT TO: (Name and Address) 9/7/2012 Page 1 of 11 9:09AM THOMAS J. SWARTZ 30626 SOUTH SKAGIT HIGHWAY SEDRO-WOOLLEY, WA USA 98284 THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY 1. DEBTOR'S EXACT FULL LEGAL NAME - insertonly one debtor name (1a or 1b) - do not abbreviate or combine names 1a. ORGANIZATION'S NAME 15. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX TUCKER 1c. MAILING ADDRESS CARLA POSTAL CODE COUNTRY 301 EAST FAIRHAVEN AVE. **BURLINGTON** WA 98233 USA 1d. SEE INSTRUCTIONS ADD'L INFO RE 19. TYPE OF ORGANIZATION ORGANIZATION 1g. ORGANIZATIONAL ID #, if any NONE 2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b) - do not abbreviate or combine names 2a, ORGANIZATION'S NAME 2b. INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX **KAUFMANN** DEBORAH 2c. MAILING ADDRESS POSTAL CODE COUNTRY 616 1ST AVENUE, SUITE 500, **SEATTLE** WA | 98104 **USA** ADD'L INFO RE 28. TYPE OF ORGANIZATION ORGANIZATION 2d. SEEINSTRUCTIONS 2f. JURISDICTION OF ORGANIZATION 2g. ORGANIZATIONAL ID #, if any NONE 3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only gree secured party name (3a or 3b) 3a. ORGANIZATION'S NAME 36, INDIVIDUAL'S LAST NAME FIRST NAME MIDDLE NAME SUFFIX **SWARTZ** THOMAS 3c. MAILING ADDRESS POSTAL CODE COUNTRY <u>30626 SOUTH SKAGIT HIGHWAY</u> 98284 SEDRO-WOOLLEY USA 4. This FINANCING STATEMENT covers the following collateral: (SEE ATTACHMENT) P42122 NE NW 31-35-6 042120 NWNE 31-35-6

5. ALTERNATIVE DESIGNATION (if applicable):	LESSEE/LESSOR	CONSIGNER	EJCONSIGNOR	BAILEE/BAILOR	SELLER/BUYER	AG, LIEN	✓NON-U	CC FILING
This FINANCING STATEMENT is to be filed [f			7, Check to REQ	UEST SEARCH RE	PORT(S) on Debtor(s)		7	77.7
ESTATE RECORDS. Attach Addendum		[if applicable]	IADDITIONAL	FEE	[optional]	All Debtors	Deptor 1	Debtor 2
8 OPTIONAL FLER REFERENCE DATA	-							

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616 1ST AVENUE, SUITE		SEATTLE	WA	98104	USA
21d. SEE INSTRUCTIONS ADD'L INFO	TION '	the part of the second	'	GANIZATIONAL ID #, if :	any
91-1395909 DEBTOR	REG	STATE OF WASHIN		61176	NONE
22. ADDITIONAL DEBTOR'S EXACT	FULL LEGAL NAME - insert on	ly <u>one</u> name (22a or 22b) - do not abbreviate	or combine names		
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OR SKAGIT STATE BANK	MAIN OFFICE	FIRST NAME	MIDDLE	NAME	SUFFIX
			100000		GOFFIX
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301 EAST FAIRHAVEN A	VENUE	BURLINGTON	WA	98233	USA
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91-0967047 ORGANIZA DEBTOR	IDON BNK	STATE OF WASHIN	GTON 6000	13580	None
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301 EAST FAIRHAVEN AT 23d. SEEINSTRUCTIONS ADD'L INFO		BURLINGTON	WA	98233	USA
ORGANIZA		ON 23f. JURISDICTION OF ORGANIZA	TION 23g. OR	GANIZATIONAL ID#, if a	
DEBTOR	CC NAME . W. STOWN .				NONE
24. ADDITIONAL SECURED PART 24a. ORGANIZATION'S NAME	S NAME (or Name of TOTAL AS	SIGNEE) - insert only one name (24a or 24b)		
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OR 24b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE	NAME	SUFFIX
24c. MAILING ADDRESS		СПУ	STATE	POSTAL CODE	COUNTRY
PIER 70-2801 ALASKAN V	VAY SUITE 300	SEATTLE	WA	98121-1128	USA
25. ADDITIONAL SECURED PARTY	'S NAME (or Name of TOTAL AS	SIGNEE) - insert only one name (25a or 25b)		27175
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25b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIÖDLE	NAME	SUFFIX
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PIER 70-2801 ALASKAN WAY SUITE 300

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SEATTLE

STATE

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POSTAL CODE

98121-1128

COUNTRY

USA

UCC FINANCING STATEMEN FOLLOW INSTRUCTIONS (front and bac	IT ADDITIONAL PAR k) CAREFULLY	₹TY
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19a. ORGANIZATION'S NAME		
19b. INDIVIDUAL'S LAST NAME	FIRSTNAME	MIDDLE NAME, SUFFIX
TUCKER	CARLA	F.
20. MISCELLANEOUS:	\vee	

201209070046 Skagit County Auditor

9/7/2012 Page

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OR 21b. INDIVIDUAL'S LAS	TNAME		FIRST NAME		MIDDLE	NAME	SUFFIX
			4/				
21c. MAILING ADDRESS			спу		STATE	POSTAL CODE	COUNTRY
P.O. BOX 336, 227	FREEWAY	DR., SUITE B 📝 📝	MOUNT VERNON	N.	WA	98273	USA
21d. SEEINSTRUCTIONS	ADD'L INFO RE	21e. TYPE OF ORGANIZATION	21f. JURISDICTION OF ORGAN	NIZATION		GANIZATIONAL ID#, if any	USA
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24, ADDITIONAL SECUR		AME (or Name of TOTAL ASSIGNED) incompany (74)	146			NONE
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25b. INDIVIDUAL'S LAST	NAME		FIRST NAME		MIDDLE N	IAME	SUFFIX
5c. MAILING ADDRESS	· .	- 185	СПУ		STATE	POSTAL CODE	COUNTRY
							1



Date: February 3rd, 2011

REGISTERED MAIL RETURN RECEIPT REQUESTED **REGISTERED NO. RA 993 727 597 US**

CONSTRUCTIVE NOTICE OF ADVERSE CLAIM

Cognizable in the Admiralty Jurisdiction Notice to Agent is Notice to Principal Applicable to All Successors and Assigns

Claimant:

Thomas J. Swartz, Secured Party Serviced by and Respond to:

C/o Charles A. Bacus, Notary Public

30805 Warfield Road

Sedro-Woolley, Washington [98284] Non-domestic Without the United States

Respondents: CARLA F. TUCKER, CHIEF FINANCIAL OFFICER

SKAGIT STATE BANK

301 EAST FAIRHAVEN AVENUE

POST OFFICE BOX 285

BURLINGTON, WA. 98233-0285

RTSC: Deborah Kaufman, Vice president 616 1st Avenue, Suite 500, Seattle, Washington

98104

REGISTERED MAIL # RA 993 727 606 US

Skagit State Bank Main Office ATTN:

Roger W. Johnson

301 East Fairhaven Avenue Burlington, WA 98233

REGISTERED MAIL # RA 993 727 610 US

Graham & Dunn ATTN:

Diane M. Meyers, WSBA # 40729

PC Pier 70-2801 Alaskan Way-Suite 300 Seattle,

WA 98121-1128

REGISTERED MAIL # RA 993 727 623 US

RE:

LOAN NUMBER 003129929407; DAY CREEK CONTRACTING LLC.

Dear Respondents.

Enclosed herewith please find this CONSTRUCTIVE NOTICE OF ADVERSE CLAIM necessary to protect CLAIMANTS rights and interests in FINANCIAL ASSETS, SEE EXHIBIT A, in connection with RETIRED Skagit State Bank loan number 003129929407; DAY CREEK CONTRACTING LLC. Claimant asserts that the obligation has been SATISFIED in its ENTIRITY as evidenced by Respondents tacit acquiescence to past correspondences, requesting RESPONDENTS to PROVE their claim of any and all obligations due Respondents by Claimant. And that Respondents have not AGREED by acquiescence to said past correspondences that Respondents have no BONA FIDE claim to any property of the THOMAS J. SWARTZ.

The Respondents have Ten (10) days from the receipt of this CONSTRUCTIVE NOTICE OF ADVERSE CLAIM, hereinafter the "NOTICE", as evidenced by the Return Receips requested, to respond on a point by point basis, via sworn affidavit, under the Respondents full commercial liability, signing with a wet ink signature that the facts contained therein are true, correct, complete and not misleading. Mere declarations are an insufficient response. If an extension of time is needed to properly answer, please request it in writing through the address noted above within a Seasonable time, 'Ten (10) days"

If the Respondents do not respond in a manner that conforms to this NOTICE within a Seasonable time, then the Respondents are agreeing to the facts stated in the attached Affidavit, that the Return Receipt requested for this NOTICE shall serve as a receipt and statement of account which evidences the fact that all parties are whole regarding Skagit State Bank Loan Number 003129929407 Day Creek Contracting L.L.C., and that no further action is necessary by the Respondents in this matter.

If the Respondents agree to the terms and conditions of this NOTICE, do not respond in a manner that conforms to this NOTICE within a Seasonable time, and continue to take action or otherwise attempt to collect any property from the Claimant, such action will be considered as;



Page I

- A if the Respondents are granting and conveying to the Claimant priority security interest in the Respondent's real and personal property to secure payment and performance of the Respondent's obligations arising from such actions including:
- 1 \$10,000.00 USD per correspondence by letter to the THOMAS J. SWARTZ and or the ELIZABETH SWARTZ alleging a debt in which the THOMAS J. SWARTZ and or the ELIZABETH SWARTZ is liable.
- 2. \$10,000.00 USD per correspondence or attempted correspondence by phone to the THOMAS J. SWARTZ and or the ELISABETH SWARTZ alleging a debt which the THOMAS J. SWARTZ and or the ELIZABETH SWARTZ is liable.
- 3. \$10,000.00 USD per report to Credit Bureaus regarding the THOMAS J. SWARTZ and or the ELIZABETH SWARTZ alleging a debt which the THOMAS J. SWARTZ and or the ELIZABETH SWARTZ is liable.
- 4. 1,000,000 USD Security Interest Value to protect Claimants Rights and Interest in Property associated with this Account.

B. if the Respondents are granting the Claimant permission to make the necessary filings to perfect said security interest in the Respondent's real and personal property. Respondent's real and personal property includes, but may not be limited to all rights, title, and interest of the Respondents concerning Skagit State Bank Loan Number 003129929407 Day Creek Contracting L.L.C., Case # 10-2-01551-8,m42305-1437838.doc, m42305-1437836.doc

This letter constitutes constructive notice to the Respondents . The Respondent's acquiescence to this NOTICE shall be considered as acceptance, honoring, and confirmation to the terms and conditions of this NOTICE.

DOCUMENT PREPARATION, in connection with this account, Claimant has selected, prepared, drafted or INTENDS on COMPLETING certain instruments, records, or documents which will affect Respondents legal rights and obligations. Claimant has done this solely for his own benefit and to protect his own interest in the transaction. THIS IS NOTICE TO RESPONDENTS BY CLAIMANT THAT RESPONDENTS SHOULD CONSULT WITH RESPONDENTS OWN LEGAL COUNSEL TO PROTECT RESPONDENTS INTERESTS AND TO ANSWER ANY QUESTIONS RESPONTENTS MAY HAVE ABOUT THE INSTRUMENTS DOCUMENTS OR THE TRANSACTION.

Enclosure(s):

- (1) Commercial Affidavit dated February 4th, 2011
- (2) Exhibit A (list of financial assets)
- (3) Constructive Notice of Adverse Claim
- (4) Copy of Notary's Certificate of Service (Original on File)

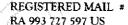
(5) Last Paragraph page 2 addendum

Thomas J Swartz, Authorized Representative

Non-domestic Without the U.S.

9/7/2012 Page

9:09AM



COMMERCIAL AFFIDAVIT

The undersigned Affiant Thomas J. Swartz, hereinafter "Affiant", does solemnly affirm, declare, and state as follows:

- 1. Affiant is competent to state the matters set forth herein.
- 2. Affiant has knowledge of the facts stated herein.
- 3. All the facts herein are true, correct, complete, and admissible as evidence.

Plain Statement of Facts

- 4. Affiant has seen no evidence supporting that a bona fide standing contract exists between the Respondents and the THOMAS J. SWARTZ and or the ELIZABETH SWARTZ, where the THOMAS J. SWARTZ and or the ELIZABETH SWARTZ are liable to the Respondents for ANY OBLIGATION, and Affiant believes no such evidence exists.
- 5. Affiant has seen no evidence supporting that the THOMAS J. SWARTZ, has not requested and received a verified payoff statement from Skagir State Bank and Affiant believes no such evidence exists.
- 6. Affiant has seen no evidence supporting that the THOMAS J. SWARTZ, did not tender payment with a Private Registered Setoff Bond, Honored by the Treasury Secretary of the United States, and assigned to Him with a UCC 3 assignment addendum, for the exclusive purpose of setting off Skagit State Bank Loan # 003129929407 and Affiant believes no such evidence exists.
- 7. Affiant has seen no evidence supporting that the THOMAS J. SWARTZ, after tendering payment of the obligation, did not also make a REQUEST REGARDING A STATEMENT OF ACCOUNT, (Pursuant to the Washington Uniform Commercial Code 62A.9A-210) as a record authenticated by the THOMAS J. SWARTZ, requesting that Skagit State Bank approve or correct the statement indicating what the THOMAS J. SWARTZ believed to be the aggregate amount of unpaid obligations secured by collateral as of September 10th, 2010, and after reasonably identifying the transaction, allowed Skagit State Bank fourteen days (14) to reply to this request, (pursuant to code stated above) and Affiant believes no such evidence exists.
- 8. Affiant has seen no evidence supporting that Skagit State Bank did not disregard the Request Regarding A Statement of Account, made by the THOMAS J. SWARTZ, in violation of Washington Uniform Commercial Code Rules, and Affiant believes that no such evidence exists.
- 9. Affiant has seen no evidence supporting that Skagit State Bank did not violate the terms of their own Commercial Security Agreement by attempting to and persisting in the effort to bind the THOMAS J. SWARTZ to the strict observance of UCC Rules while concurrently disregarding said UCC Rules when the Rules do not favor Skagit State Bank, and affiant believes that no such evidence exists.

201209070046

Skagit County Auditor

9/7/2012 Page

and the second of the second		
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Washington Republic		Commercial Affidavit Oath and Verification
and the second s		The state of the s
) Sworn to and Subscribed:	
County of Skagit	Note that the second se	
county of skagit		
I, Thomas J. Swartz, u	nder my unlimited liability and Commer	cial Oath, proceeding in good faith, being of sound mind,
naving institution know	vieuge, airifm, state, and declare that the	facts contained herein are true correct complete and make
misicaumg, under pena	lty of perjury under the laws of the United	States of America and Without the laws of the U.S., so help
me, God.		are id was of the o.s., so neip
		//lemas// Jones
	N.C.	Thomas J. Swartz, Authorized Representative
		Non-Domestic without the U.S.
	•	
	and the second s	
Washington Republic)	IURA	r /
]	Sworn to and Subscribed:	•
County of Skagit j		
· •	441.	-//
Sworn and Subscribed to	(or affirmed) before me on this day	of February 2011 Thomas J. Swartz
proved to me on the basis	of satisfactory evidence to be the person	vho appeared before me.
		- MALA
(stamp)		(Seal
(stamp)		Signature
		Charles A. Bacus, Notary Pul
		30805 Walberg Road
		Sedro-Woolley, Washington [98284]
The state of the s	Notary Public	Non-Domestic without the U.S.
Sta	te of Washington	My Commission explas June 14, 2014 CB
GH CH	ARLES A BACUS	
my Appoints	nest Expires Jun 14, 2014	
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ű.		
		0070046
	Skarit Co.	nty Auditor
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9/7/2012 Page

EXHIBIT A FOR LEGAL DESCRIPTION

Trustee's Sale No. 01-CM-98536

Parcel A:

The East Half of the Northeast Quarter of the Northwest Quarter of Section 31.

Township 35 North. Range 6 East of the Willamette Meridian.

Situated in Skagit County, Washington.

Parcel B:

The West Half of the Northwest Quarter of the Northeast Quarter of Section 31.

Township 35 North. Range 6 East of the Willamette Meridian.

Situated in Skagit County, Washington.

Together with all existing or subsequently erected or affixed buildings, improvements and fixtures; all easements, rights of way, and appurtenances; all water, water rights and ditch rights (including stock in utilities with ditch or irrigation rights); and all other rights, royalties, and profits relating to the real property, including without limitation all minerals, oil, gas, geothermal and similar matters.

Together with all equipment, fixtures, and other articles of personal property now or hereafter owned by Grantor, and now or hereafter attached or affixed to the Real Property; together with all accessions, parts, and additions to, all replacements of, and all substitutions for, any of such property; and together with all issues and profits thereon and proceeds (including without limitation all insurance proceeds and refunds of premiums) from any sale or other disposition of the Property.

- a) Excavator with serial number 12\$35955
- b) Hitachi EX270 Excavator with serial number 1585817
- c) Yanmar V1045-5 Excavator with serial number YMRVI045V6YY50657
- d) Komatsu D31P-16 Dozer with serial number 28054
- e) Bomag Roller with serial number 106510110156
- f) John Deere 2750 Mower with serial number L02750T579555
- g) Larson 6330 mobile broom with serial number 85070033.
- h) GMC 6x6 water truck with license number A60263R
- i) CAT D8 crawler tractor with serial number 2UOn09

9/7/2012 Page

NOTARY'S CERTIFICATE OF SERVICE

It is hereby certified, that on the date noted below, the undersigned Notary Public mailed to:

Carla F. Tucker, Chief Financial Officer Skagit State Bank 301 East Fairhaven Avenue P.O. Box 285 Burlington, WA 98233-0285

hereinafter, "Recipient," the documents and sundry papers regarding Loan # 003129929407 DAY CREEK CONTRACTING LLC as follows:

- 1. PRESENTMENT OF NOTICE OF ADVERSE CLAIM with page 2 last paragraph addendum, dated February 3,2011 (2 page);
- 2. COMMERCIAL AFFIDAVIT dated February 4, 2011(2 page);
- 3. EXHIBIT A (FINANCIAL ASSETS) (1 pages);
- 4. NOTARY'S FACSIMILE CERTIFICATE OF SERVICE dated February 4, 2011 (Original on file l pages);
- 5. FACSIMILE LOGS 12 Pages /
- 6. This NOTARY'S CERTIFICATE OF SERVICE dated February 7, 2011 (Original on file 1 pace).

a	total of	Nine	(9)		pages,
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by Registered Mail No. RA 993 727 597 US Return Receipt attached by placing same in a postpaid envelope properly addressed to Recipient at the said address and depositing same at an official depository under the exclusive face and custody of the U.S. Postal Service within the State of California.

NOTARY PUBLIC

February 7, 2011 DATE

(Seal)

My commission expires: June 14, 2014

Charles A Bacus, Notary Public 30805 Walberg Road

Sedro-Woolley, Washington [98284] Non-Domestic without the U.S.

Co: RTSC: Deborah Kaufman, Vice president 616 1st Avenue, Suite 500, Seattle, Washington 98104

REGISTERED MAIL # RA 993 727 606 US

Graham & Dunn ATTN:

Diane M. Meyers, WSBA # 40729

PC Pier 70-2801 Alaskan Way-Suite 300 Seattle, WA

98121-1128

REGISTERED MAIL # RA 993 727 623 US

(Stamp)

Notary Public State of Washington CHARLES A BACUS ent Expires Jun 14, 2014

Skagit State Bank Main Online XI)

Roger W. Johnson 301 East Fairhaven Avenue Burlington, WA 98233

REGISTERED MAIL # RA 993 727 610 US

LEGAL NOTICE The Certifying Notary is an independent contractor and not a party to this claim. In fact the Certifying Notary is a Federal Witness Pursuant. to TITLE 18, PART I, CHAPTER 73, SEC. 1512. Tampering with a witness, victim, or an informant. The Certifying Notary also performs the functions of a quasi-Postal Inspector under the Homeland Security Act by being compelled to report any violations of the U.S. Postal regulations as an Officer of the Executive Department. Intimidating a Notary Public under Color of Law is a violation of Title 18, U.S. Code, Section 242, titled "Deprivation of Rights Under" Color of Law," which primarily governs police misconduct investigations. This Statute makes it a crime for any person acting under the Color of Law to willfully deprive any individual residing in the United States and/or United States of America those rights protected by the Constitution and U.S. laws.



CERTIFICATE OF NON-RESPONSE

er V	OF THE PROPERTY OF THE PROPERT	
STATE OF V	VASHINGTON)	
) Sworn and Subscribed	
COUNTY OF	SKAGIT)	
PRESENTM	ENT Be it known, that, the person signing below, a duly empowered Notary Public, at the re	quest
of Thomas J.		
	amant Address Address	
did duly pres	ent on February 7th 2011 the attached Constructive Notice of Adverse Claim Reg. Mail RA993727	507110 dated Enhance 24 2044
to Carla F. Tuo	ther Chief Financial Officer Stand State Pant 204 Cost Costs and Do D. Costs and Costs	09/05 dated replay 3 - 2011
Respond	ker, Chief Financial Officer, Skagit State Bank 301 East Fairhaven Avenue, PO Box 285 Burlington, Washi	ngton 98233
signed by	Thomas J Swartz, Authorized Rep. requesting Response and Rebuttal of Constructive Notice of Adven	ou DI See
the seasonab	le time limit having elapsed for a timely response thereto.	se Claim
DEFAULT		
	Whereupon by Respondents failure to respond in a manner that conforms to the NOTICE of below, does publicly and solemnly certify the dishonor as against all parties it may concern by the solutions.	within a seasonable time the Notar
and stipulation		y reason of non-response thereof
	NAME ADDRESS	
SKAGIT STA	TE BANK 301 East Fairhaven Avenue, PO Box 285	
CARLA F. TU	CKER, CHIEF FINANCIAL Burlington, Washington 98233-0285	
OFFICER		
TESTIMONY	In testimony of the above 11 to 2	
LOTIMON	In testimony of the above. I have signed my name and attached my official seal	
	, Notary Public	
	My commission expires: June 14, 2014	
	Date August 30, 2012	
Cc:	RTSC: DEBORAH KAUFMAN, VICE PRESIDENT	
	616 1st Avenue, Suite 500, Seattle Washington 98104	
	USPS Registered Mail # RA 993 727 606 US	
Ce:	SKAGIT STATE BANK MAIN OFFICE ATTN: ROGER W. JOHNSON	ye.

CC: SKAGIT STATE BANK MAIN OFFICE ATTN: ROGER W. JOHNSON 301 EAST FAIRHAVEN AVENUE BURLINGTON, WASHINGTON 98233 USPS Registered Mail # RA 993 727 610 US

Cc: GRAHAM & DUNN PC, ATTN: Diane M. Meyers, WSBA # 40729 Pier 70-2801 Alaskan Way-Suite 300 Seattle, Washington 98121-1128 USPS Registered Mail # RA 993 727 623 US

> Notary Public State of Washington CHARLES A BACUS My Appointment Expires Jun 14, 2014

> > 201209070046 Skagit County Auditor

9/7/2012 Page

A. Signature A. Signature X. A. Signature D. Signature D. Is delivery address below: If YES, enter delivery address below: D. Is delivery address below: D. Is one of the content of the c	3. Service Type Certified Mail	93 727 597 U.S Domestic Return Receipt Domestic Return Receipt		3. Service Type Certified Mail	93 727 610 U.S. Domestic Return Receipt 102595-02-M-15
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Signatura Section on Delivery Signatura Signat	3. Service Type Certified Mail		A. Signature A. Signature X. D. Marchard Name) D. la delivery address below: D. No.	3. Service Type Certified Mell	27 623 US. 102585-02-M-1540
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